

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER I

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2020

	Schedules	As at Sept. 30, 2020	As at Sept. 30, 2019
Liabilities			
Unit Capital	1	5,543,301,896	3,696,239,631
Reserves and Surplus	2	4,549,205,048	3,274,789,363
Current Liabilities and Provisions	3	6,011,648	8,999,265
Total		<u>10,098,518,592</u>	<u>6,980,028,259</u>
Assets			
Investments	4	10,092,771,484	6,975,375,930
Deposits	5	-	-
Other Current Assets	6	5,747,108	4,652,329
Total		<u>10,098,518,592</u>	<u>6,980,028,259</u>
(a) Net assets as per Balance Sheet		10,092,506,944	6,971,028,994
(b) Number of Units outstanding		554,330,190	369,623,963
(C) NAV Per Units		18.2067	18.8598

For LIC Pension Fund Ltd

MD & CEO

Date: 22-Oct-2020

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER I

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020

Particulars	Year ended Sept 30, 2020	Year ended Sept 30, 2019
Income		
Dividend	70,075,008	51,321,072
Interest	-	8,712
Profit on sale/redemption of investments	118,297,108	25,207,768
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	2,091,496,428	-
Other income		
- Miscellaneous Income	2	1
Total	2,279,868,546	76,537,553
Expenses and Losses		
Unrealized losses in value of investments	-	81,999,984
Loss on sale/redemption of investments	85,944,764	99,295,853
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	508,254	354,808
NPS Trust fees	215,362	54,134
Custodian fees	183,048	135,307
Stamp Duty	585,380	-
Depository and settlement charges	-	-
Other Expenses, if any	-	-
Total	87,436,808	181,840,086
Surplus/(Deficit) for the year	2,192,431,738	(105,302,533)
Less: Amount transferred to Unrealised appreciation account	2,091,496,428	(81,999,984)
Less: Amount transferred to General Reserve	100,935,310	(23,302,549)
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 22-Oct-2020

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER I

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2020

Schedule 1	As at Sept 30, 2020	As at Sept 30, 2019
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	4,564,648,583	2,884,039,209
Add :Units issued during the year	1,144,226,036	976,554,117
Less: Units redeemed during the year	165,572,723	164,353,695
Outstanding at the end of the year	5,543,301,896	3,696,239,631
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	456,464,858	137,539,288
Add :Units issued during the year	114,422,604	30,959,708
Less: Units redeemed during the year	16,557,272	5,686,532
Outstanding Units at the end of the year	554,330,190	369,623,963
<hr/>		
Schedule 2	As at Sept 30, 2020	As at Sept 30, 2019
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	3,104,788,430	1,711,381,372
Add: Premium on Units issued	732,764,237	839,716,087
Less: Premium on Units redeemed	112,820,929	141,913,863
Closing Balance	<u>3,724,731,738</u>	<u>2,409,183,596</u>
General Reserve		
Opening Balance	420,613,564	281,722,160
Add: Transfer from Revenue Account	100,935,310	(23,302,549)
Closing Balance	<u>521,548,874</u>	<u>258,419,611</u>
Unrealised Appreciation Account		
Opening Balance	(1,788,571,992)	689,186,140
Add: Transfer from Revenue Account	2,091,496,428	(81,999,984)
Closing Balance	<u>302,924,436</u>	<u>607,186,156</u>
Total	<u><u>4,549,205,048</u></u>	<u><u>3,274,789,363</u></u>
<hr/>		
Schedule 3	As at Sept 30, 2020	As at Sept 30, 2019
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	5,594,004	3,470,418
Contract for Purchase of Investments	-	5,234,948
TDS Payble	-	-
Sundry Creditors for expenses	417,644	293,899
	<u>6,011,648</u>	<u>8,999,265</u>

Schedule 4	As at Sept 30, 2020	As at Sept 30, 2019
Investments (Long Term and Short Term)		
Equity Shares	9,795,816,332	6,739,255,866
Debentures and Bonds Listed/Awaiting Listing	-	1,198,238
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	296,955,152	234,921,826
- Certificates of Deposit	-	-
Total	10,092,771,484	6,975,375,930

Schedule 5	As at Sept 30, 2020	As at Sept 30, 2019
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2020	As at Sept 30, 2019
Other Current Assets		
Outstanding and accrued Income	5,564,856	103,628
Interest receivable on deposits with scheduled banks	-	-
Balance with Trustee Bank	2,097	-
Application Pending Allotment (Equity)	-	-
Contract for sale of investments	-	4,486,012
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	180,155	62,689
	5,747,108	4,652,329