

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - A TIER I

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2020

	Schedules	As at Sept. 30, 2020	As at Sept. 30, 2019
Liabilities			
Unit Capital	1	21,007,335	12,941,521
Reserves and Surplus	2	7,179,622	3,217,869
Current Liabilities and Provisions	3	50,071	9,792
Total		<u>28,237,028</u>	<u>16,169,182</u>
Assets			
Investments	4	25,988,861	15,734,876
Deposits	5	-	-
Other Current Assets	6	2,248,167	434,306
Total		<u>28,237,028</u>	<u>16,169,182</u>
(a) Net assets as per Balance Sheet		28,186,957	16,159,390
(b) Number of Units outstanding		2,100,734	1,294,152
c) NAV Per Units		13.4177	12.4865

For LIC Pension Fund Ltd

MD & CEO

Date: 22-Oct-2020

Place: Mumbai

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NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - A TIER I

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020

Particulars	Year ended Sept 30, 2020	Year ended Sept 30, 2019
Income		
Dividend	-	-
Interest	804,696	465,515
Profit on sale/redemption of investments	129,881	21,710
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	259,419	-
Other income		
- Miscellaneous Income	-	-
Total	1,193,996	487,225
Expenses and Losses		
Unrealized losses in value of investments	-	109,356
Loss on sale/redemption of investments	-	-
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	1,516	756
NPS Trust fees	643	127
Custodian fees	381	243
Stamp Duty	50	-
Depository and settlement charges	-	-
Provision for Non-performing assets	-	-
Other Expenses, if any	(2)	3
Total	2,588	110,485
Surplus/(Deficit) for the year	1,191,408	376,740
Less: Amount transferred to Unrealised appreciation account	259,419	(109,356)
Less: Amount transferred to General Reserve	931,989	486,096
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2020

Schedule 1	As at Sept 30, 2020	As at Sept 30, 2019
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	18,039,391	8,730,736
Add :Units issued during the year	4,924,876	5,189,693
Less: Units redeemed during the year	1,956,932	978,908
Outstanding at the end of the year	21,007,335	12,941,521
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	1,803,939	873,074
Add :Units issued during the year	492,488	518,969
Less: Units redeemed during the year	195,693	97,891
Outstanding Units at the end of the year	2,100,734	1,294,152
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Schedule 2	As at Sept 30, 2020	As at Sept 30, 2019
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	3,417,867	990,470
Add: Premium on Units issued	1,483,240	1,212,201
Less: Premium on Units redeemed	602,335	225,738
Closing Balance	<u>4,298,772</u>	<u>1,976,933</u>
General Reserve		
Opening Balance	1,883,506	617,391
Add: Transfer from Revenue Account	931,989	486,096
Closing Balance	<u>2,815,495</u>	<u>1,103,487</u>
Unrealised Appreciation Account		
Opening Balance	(194,064)	246,805
Add: Transfer from Revenue Account	259,419	(109,356)
Closing Balance	<u>65,355</u>	<u>137,449</u>
Total	<u><u>7,179,622</u></u>	<u><u>3,217,869</u></u>
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Schedule 3	As at Sept 30, 2020	As at Sept 30, 2019
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	48,977	9,149
TDS Payble	-	-
Sundry Creditors for expenses	1,094	643
	<u>50,071</u>	<u>9,792</u>

Schedule 4	As at Sept 30, 2020	As at Sept 30, 2019
Investments (Long Term and Short Term)		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	24,987,096	9,985,298
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	1,001,765	5,749,578
- Certificates of Deposit	-	-
Total	25,988,861	15,734,876

Schedule 5	As at Sept 30, 2020	As at Sept 30, 2019
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2020	As at Sept 30, 2019
Other Current Assets		
Outstanding and accrued Income	964,170	415,246
Balance with Trustee Bank	1,283,997	19,060
Dividend Receivable	-	-
Contract for sale of investments	-	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	-	-
Redemption receivable on investments	-	-
	2,248,167	434,306