

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	19,421,824,986	12,769,517,439
	0		
Reserves and Surplus	2	9,348,045,358	3,688,212,184
Current Liabilities and Provisions	3	12,697,539	89,704,126
Total		<u>28,782,567,883</u>	<u>16,547,433,749</u>
Assets			
Investments	4	28,235,784,020	16,209,657,895
Deposits	5	-	-
Other Current Assets	6	546,783,863	337,775,854
Total		<u>28,782,567,883</u>	<u>16,547,433,749</u>
(a) Net assets as per Balance Sheet		28,769,870,344	16,457,729,623
(b) Number of Units outstanding		1,942,182,499	1,276,951,744
(c) NAV Per Units		14.8132	12.8883

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

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HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	29,132,034	17,202,365
Interest	815,336,668	481,530,901
Profit on sale/redemption of investments	43,811,021	31,488,739
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	646,120,563	-
Other income		
- Miscellaneous Income	1	1
Total	1,534,400,287	530,222,006
Expenses and Losses		
Unrealized losses in value of investments	-	469,633,227
Loss on sale/redemption of investments	12,178,140	12,089,934
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	1,554,454	875,691
NPS Trust fees	232,908	364,356
Custodian fees	610,804	264,488
Trustee Bank's fees	-	-
Depository and settlement charges	17,962	22,269
Provision for Non-performing assets	22,500,000	-
Other Expenses, if any	-	-
Total	37,094,268	483,249,965
Surplus/(Deficit) for the year	1,497,306,019	46,972,041
Less: Amount transferred to Unrealised appreciation account	646,120,563	(469,633,227)
Less: Amount transferred to General Reserve	851,185,456	516,605,268
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*		
Outstanding at the beginning of the year	16,155,348,281	9,774,727,403
Add :Units issued during the year	3,629,877,348	3,202,407,746
Less: Units redeemed during the year	363,400,643	207,617,710
Outstanding at the end of the year	19,421,824,986	12,769,517,439
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	1,615,534,828	977,472,740
Add :Units issued during the year	362,987,735	320,240,775
Less: Units redeemed during the year	36,340,064	20,761,771
Outstanding Units at the end of the year	1,942,182,499	1,276,951,744
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Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	3,919,086,183	1,913,115,216
Add: Premium on Units issued	1,623,729,116	917,242,284
Less: Premium on Units redeemed	163,153,590	59,682,332
Closing Balance	5,379,661,709	2,770,675,168
General Reserve		
Opening Balance	2,173,730,037	956,512,493
Add: Transfer from Revenue Account	851,185,456	516,605,268
Closing Balance	3,024,915,493	1,473,117,761
Unrealised Appreciation Account		
Opening Balance	297,347,593	(85,947,518)
Add: Transfer from Revenue Account	646,120,563	(469,633,227)
Closing Balance	943,468,156	(555,580,745)
Total	9,348,045,358	3,688,212,184
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Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	1,376,219	-
Redemption Payable	8,001,226	5,682,730
Contract for Purchase of Investments	2,010,103	83,119,775
TDS Payable	-	(26,396)
Sundry Creditors for expenses	1,309,991	928,017
	12,697,539	89,704,126

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	3,648,548,920	2,237,961,834
Debentures and Bonds Listed/Awaiting Listing	9,064,029,566	5,442,735,820
Central and State Government Securities (including treasury bills)	15,070,575,379	8,143,099,375
Commercial Paper	-	-
Others - Mutual Fund Units	445,130,155	385,860,866
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	30,000,000	-
Less: Provision on Non performing investment	(22,500,000)	-
Total	28,235,784,020	16,209,657,895

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	531,024,887	340,975,329
Balance with Trustee Bank	10,558,966	6,309,708
Interest due on NPA	1,376,219	-
Contract for sale of investments	3,783,932	(9,567,714)
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	39,859	58,531
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	546,783,863	337,775,854