

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2020

	Schedules	As at Sept. 30, 2020 ₹	As at Sept. 30, 2019 ₹
Liabilities			
Unit Capital	1	26,305,094,966	19,421,824,986
	0		
Reserves and Surplus	2	16,605,136,264	9,348,045,358
Current Liabilities and Provisions	3	17,707,840	12,697,539
	Total	<u>42,927,939,070</u>	<u>28,782,567,883</u>
Assets			
Investments	4	42,111,196,772	28,235,784,020
Deposits	5	-	-
Other Current Assets	6	816,742,298	546,783,863
	Total	<u>42,927,939,070</u>	<u>28,782,567,883</u>
(a) Net assets as per Balance Sheet		42,910,231,230	28,769,870,344
(b) Number of Units outstanding		2,630,509,497	1,942,182,499
(c) NAV Per Units		16.3125	14.8132

For LIC Pension Fund Ltd

MD & CEO

Date: 22-Oct-2020

Place: Mumbai

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NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020

Particulars	Year ended Sept 30, 2020 ₹	Year ended Sept 30, 2019 ₹
Income		
Dividend	35,988,062	29,132,034
Interest	1,160,659,769	815,336,668
Profit on sale/redemption of investments	137,050,455	43,811,021
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	1,622,869,285	646,120,563
Other income		
- Miscellaneous Income	4	1
Total	2,956,567,575	1,534,400,287
Expenses and Losses		
Unrealized losses in value of investments	-	-
Loss on sale/redemption of investments	55,200,986	12,178,140
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	2,322,965	1,554,454
NPS Trust fees	965,007	232,908
Custodian fees	747,249	610,804
Stamp Duty	1,734,254	-
Depository and settlement charges	27,730	17,962
Provision for Non-performing assets	5,959,178	22,500,000
Other Expenses, if any	-	-
Total	66,957,369	37,094,268
Surplus/(Deficit) for the year	2,889,610,206	1,497,306,019
Less: Amount transferred to Unrealised appreciation account	1,622,869,285	646,120,563
Less: Amount transferred to General Reserve	1,266,740,921	851,185,456
Amount carried forward to Balance Sheet	-	-

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MD & CEO

Date: 22-Oct-2020

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2020

Schedule 1	As at Sept 30, 2020	As at Sept 30, 2019
	₹	₹
Unit Capital		
Initial Capital*		
Outstanding at the beginning of the year	22,945,453,671	16,155,348,281
Add :Units issued during the year	3,744,521,139	3,629,877,348
Less: Units redeemed during the year	384,879,844	363,400,643
Outstanding at the end of the year	26,305,094,966	19,421,824,986
 (Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	2,294,545,367	1,615,534,828
Add :Units issued during the year	374,452,114	362,987,735
Less: Units redeemed during the year	38,487,984	36,340,064
Outstanding Units at the end of the year	2,630,509,497	1,942,182,499
Schedule 2	As at Sept 30, 2020	As at Sept 30, 2019
	₹	₹
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	7,195,901,949	3,919,086,183
Add: Premium on Units issued	2,319,456,224	1,623,729,116
Less: Premium on Units redeemed	229,672,598	163,153,590
Closing Balance	9,285,685,575	5,379,661,709
 General Reserve		
Opening Balance	4,085,052,582	2,173,730,037
Add: Transfer from Revenue Account	1,266,740,921	851,185,456
Closing Balance	5,351,793,503	3,024,915,493
 Unrealised Appreciation Account		
Opening Balance	344,787,901	297,347,593
Add: Transfer from Revenue Account	1,622,869,285	646,120,563
Closing Balance	1,967,657,186	943,468,156
 Total	16,605,136,264	9,348,045,358
Schedule 3	As at Sept 30, 2020	As at Sept 30, 2019
	₹	₹
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	2,503,069	1,376,219
Redemption Payable	13,413,691	8,001,226
Contract for Purchase of Investments	-	2,010,103
TDS Payble	-	-
Sundry Creditors for expenses	1,791,080	1,309,991
	17,707,840	12,697,539

Schedule 4	As at Sept 30, 2020	As at Sept 30, 2019
	₹	₹
Investments (Long Term and Short Term)		
Equity Shares	4,929,896,271	3,648,548,920
Debentures and Bonds Listed/Awaiting Listing	13,408,449,223	9,064,029,566
Central and State Government Securities (including treasury bills)	22,299,527,490	15,070,575,379
Commercial Paper	-	-
Others - Mutual Fund Units	1,468,323,788	445,130,155
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	40,000,000	30,000,000
Less: Provision on Non performing investment	(35,000,000)	(22,500,000)
Total	42,111,196,772	28,235,784,020

Schedule 5	As at Sept 30, 2020	As at Sept 30, 2019
	₹	₹
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2020	As at Sept 30, 2019
	₹	₹
Other Current Assets		
Outstanding and accrued Income	814,195,412	531,024,887
Balance with Trustee Bank	1,770	10,558,966
Interest due on NPA	2,503,069	1,376,219
Contract for sale of investments	-	3,783,932
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	42,047	39,859
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	816,742,298	546,783,863